



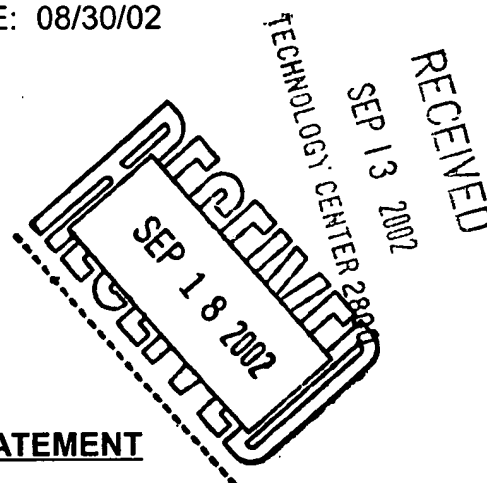
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Docket No. 71703

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Neff et al.
Application No.: 10/008,463
Filed: November 9, 2001
Title: FREE-SPACE OPTICAL
COMMUNICATION SYSTEM
Group Art Unit: 2851
Examiner: TBD

DATE: 08/30/02



INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, DC 20231

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SEP 18 2002

Dear Sir:

Technology Center 2600

This Information Disclosure Statement is submitted:

- ☒ Under 37 CFR §1.97(b) (within three months of the filing date of a national application or date of entry of an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last). However, should a first Office Action on the merits be mailed unbeknownst to the undersigned prior to the date of mailing of this paper, the fee requested under §1.17(p) may be charged to Deposit Account No. 06-1135.
- ☐ Under 37 CFR §1.97(c) together with either:
☐ a statement under 37 CFR §1.97(e), or
☐ a fee under 37 CFR §1.17(p)
(after the 37 CFR §1.97(b) time period, but before the mailing date of a final Action, a Notice of Allowance, or an action that otherwise closes prosecution).

IDS

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- ____ Under 37 CFR §1.97(d) together with:
____ a statement under 37 CFR §1.97(e), and
____ a fee under 37 CFR §1.17(p)
(after the 37 CFR §1.97(c) time period, but on or before payment of
the issue fee).

In accordance with the duty of disclosure imposed by 37 CFR § 1.56 to inform the Patent Office of all patents, publications, applications and other information known by Applicants or Applicants' representative that may be material to the examination of the subject application, Applicants' representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 CFR §§ 1.97-1.98 and includes Form PTO-1449 with one (1) reference listed as item AA.

It is requested that the information provided herein be made of record in this application.

Dated: August 30, 2002

Respectfully submitted,



Steven M. Freeland
Attorney for Applicant(s)
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Form PTO-1449 (Modified) INFORMATION DISCLOSURE CITATION IN AN APPLICATION SEP 04 2002 PATENT & TRADEMARK OFFICE	Application No.	10/008,463
	Filing Date	November 9, 2001
	First Named Inventor	Neff
	Group Art Unit	2851
	Examiner Name	TBD
Sheet 1 of 1	Attorney Docket No.	71703

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U.S. PATENT DOCUMENTS									
EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	U.S. PATENT DOCUMENT		NAME OF INVENTOR OR APPLICANT	DATE OF ISSUANCE OR PUBLICATION (MM-DD- YYYY)	CLASS	SUB CLASS	FILING DATE (if appropriate)
			PATENT, PUB., OR APP. NO.	KIND CODE (if known)					
	AA		6,381,055	B1	Javitt et al.	04-30-2001			04-16-1998

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FOREIGN PATENT DOCUMENTS Technology Center 2600										
EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	FOREIGN PATENT DOCUMENT			DATE OF PUBLICATION (MM-DD-YYYY)	CLASS	SUB CLASS	TRANSLATION	
			COUNTRY OR OFFICE (two-letter code)	DOCUMENT NO.	KIND CODE (if known)				YES	NO

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and-or country where published.

Examiner Signature		Date Considered	
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			

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TRANSMITTAL FORM <small>(Use for all correspondence after initial filing)</small>	Application Number	10/008,463
	Filing Date	November 9, 2001
	First Named Inventor	Neff
	Group Art Unit	2851
	Examiner Name	TBD
Total Number of Pages in This Submission	Attorney Docket Number	71703

ENCLOSURES (check all that apply)

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual name	Steven M. Freeland, Reg. No. 42,555
Signature	<i>Steven M. Freeland</i>
Date	August 30, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: August 30, 2002			
Typed or printed name	Steven M. Freeland		
Signature	<i>Steven M. Freeland</i>	Date	August 30, 2002

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